

# MPO-FDOT Joint Certification

*presented to*

**MPOAC  
Staff Directors**

*presented by*

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# Agenda



## Joint Certification

- > *Why*
- > *How*
- > *Timeline*
- > *New Process*
- > *Risk Assessment*

# Introduction



- **June 1 presentation:**
  - > Risk Assessment – Issues identified
- **Since June:**
  - > Need for consistent Joint Certification process
  - > Incorporate Risk Assessment into Joint Certification
- **Where we are now:**
  - > Joint Certification includes Risk Assessment
    - One streamlined, consistent process
    - Value added
    - Benefits the MPO, MPO Liaison, and Central Office

# Joint Certification – Why?



- **23 C.F.R. §450.336**

- >> Each year, the District and the MPO must jointly certify the metropolitan transportation planning process

- **Why annually?**

- >> Process must be certified every year a new STIP is adopted



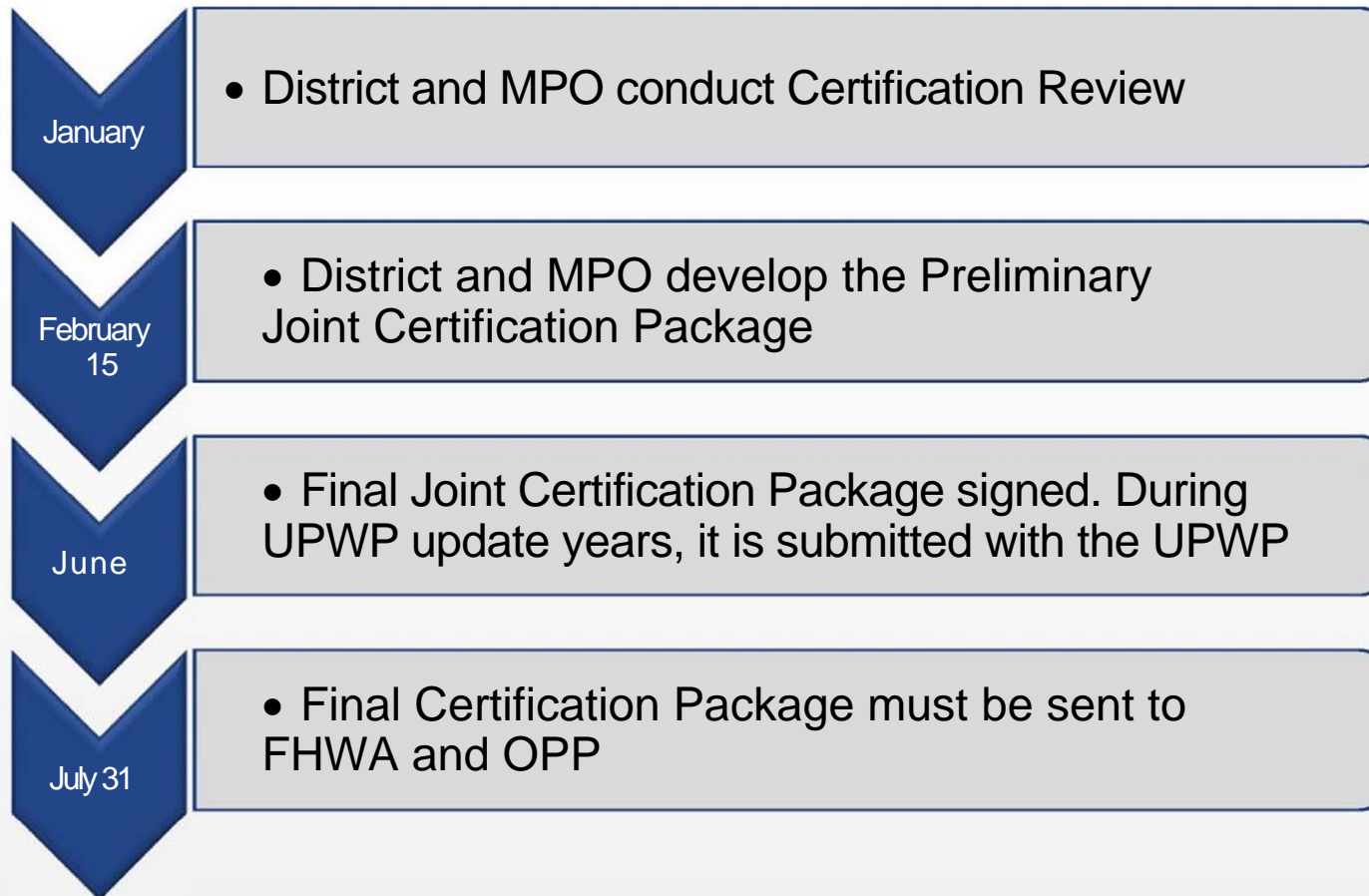
# Joint Certification - How?



- **FDOT Liaison works with MPO staff to answer all questions**
- **Can be done face-to-face or remotely**
- **Collaborative effort between FDOT and the MPO**



# Joint Certification - Timeline



# Joint Certification – New Process



- **Based off of existing questions in the MPO Handbook**
  - > Formatted to be an electronic document
- **New process intended to be 100% substantive >**  
No fluff
- **Questions Removed:**
  - > “Guaranteed yes” questions
  - > Questions that offered little to no value
- **New questions added:**
  - > Contracting & Procurement
  - > Finance & Invoicing
- **One Certification process**
  - > No Modified/Full Certification



# Risk Assessment



- **Required by 2 C.F.R. §200.331**
- **Section 5: Finances and Invoicing**
- **10 questions**
  - >> **Points associated with 9 of the 10**
  - >> **Risk Level determines frequency of supporting documentation review**

Scale	Risk Level	Frequency of Monitoring
0-1	Low	Annually
2-3	Moderate	Bi-annually
4-5	Elevated	Triennially
>6	High	Quarterly

# Risk Assessment – Invoicing



- **Old Question:**

- >> Has the MPO/TPO submitted invoices to FDOT or the proper review agency or agencies later than 30 calendar days after completion of the invoicing period within the past year?

- **New Question:**

- >> 3. Timeliness of Invoicing

- A. If the MPO is independent: Has the MPO submitted invoices to the District for reimbursement more than 30 days after the end of the invoicing period?

- A. If the MPO is hosted: Did the MPO submit their invoice to their host agency, and notify the District of the submittal, more than 30 days after the end of the invoicing period?

# Risk Assessment



- **23 C.F.R. 420.117**

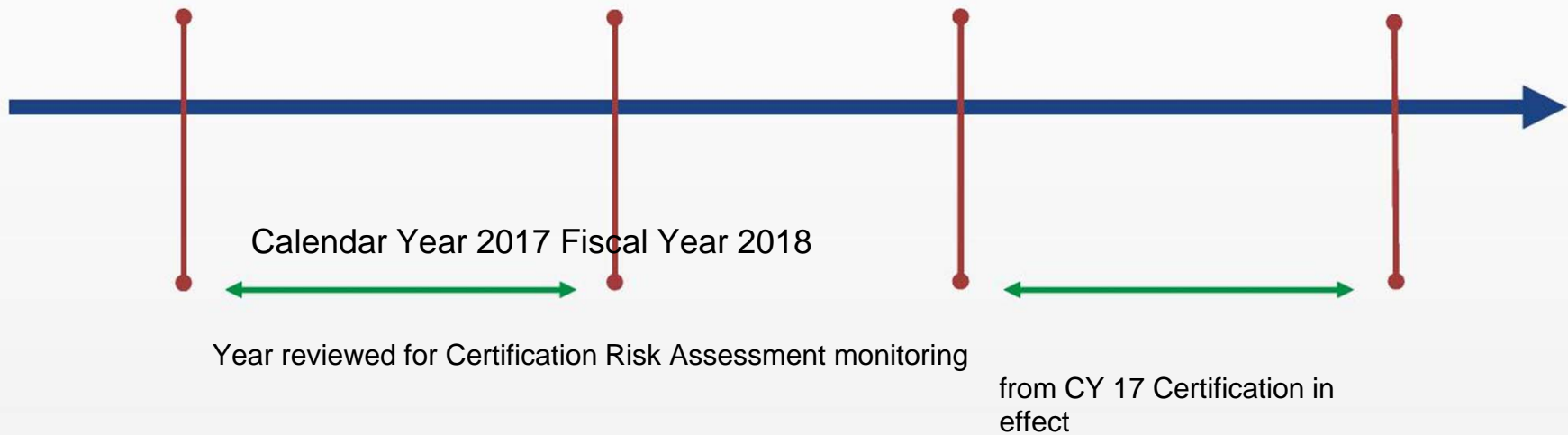
>> (b)(1) The State DOT must submit performance and expenditure reports, including a report from each subrecipient, that contain as a minimum:

>> (c)- Reports are due 90 days after the end of the reporting period for annual and final reports and no later than 30 days after the end of the reporting period for other reports.

# Risk Assessment - Timeline



January 1, 2017 December 31, 2017 July 1, 2018 June 30, 2019



# Wrap-Up

- **New Joint Certification process**

- >> Includes Risk Assessment

- >> Aimed at providing consistency and equity for all MPOs

- >> Single, Simplified Certification process



## **Next Steps:**

- >> Send to MPO Liaisons

- >> Certifications begin in January

**Any questions or discussion?**